2007 Vol. 9, No. 25 5259-5262

Gold-Catalyzed Intramolecular Carbocyclization of Alkynyl Ketones Leading to Highly Substituted Cyclic Enones

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Received October 11, 2007

ABSTRACT

The reaction of the internal alkynyl ketones 1 (n = 1) under the combined catalyst, AuCl₃ and AgSbF₆, gave the enones 2 in good to high yields, whereas that of the terminal alkynyl ketones 1 (n = 0) under the combined catalyst, AuCl₃ and AgOTf, afforded the cyclic enones 3.

Coinage metal (Au, Ag, Cu) salts act as π -electrophilic Lewis acids and activate alkynes toward nucleophilic attack, allowing the formation of new C–C and C–heteroatom bonds. The intramolecular cyclization of alkynyl–carbonyls and –imines leads to the formation of heterocycles or carbocycles via oxonium or iminium intermediates (eq 1). A different type of cycloisomerization of alkynyl carbonyls

using BF₃•OEt₂ or HCl catalyst was reported by Harding in 1992.^{4a} More recently, it was reported that the Lewis acid catalyzed or mediated intra- and intermolecular metathesis of alkyne–*aldehydes* gave the corresponding enones via a formal [2 + 2] cycloaddition and cycloreversion (eq 2).⁵ Although similar transformation using alkynyl *ketones* is useful for constructing tetrasubstituted cyclic enones, the Brønsted acid or Lewis acid catalyzed reactions of ketone analogues did not give the desired products in good yields, instead affording a mixture of products.^{4a} Furthermore, when

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the cycloisomerization was applied to ketones, we encountered severe limitation on the structure of alkynes 4m and ketones. $^{4b-1}$

Herein, we report that, by the use of a combined catalyst $(AuCl_3/AgSbF_6)$, the transformation of the internal alkynyl ketones **1** into the tetrasubstituted cyclic enones **2** proceeded in good to high yields (eq 3). Furthermore, the terminal alkynyl ketones (R = H) produced the cyclic enones **3** upon treatment with $AuCl_3/AgOTf$ (eq 3).

Preliminary studies were focused on optimizing the conditions for the formation of the tetrasubstituted α,β -unsaturated enone **2a** from **1a**, using a series of cationic coinage metal catalysts in toluene at 100 °C (Table 1). The

Table 1. Optimization of Catalysts for the Intramolecular Carbocyclization of Internal Alkynyl Ketone **1a**

entry	catalyst (mol %)	time (h)	yield (%)a	
1	AgOTf (5)	12	73	
2	AgOTf (5)/H ₂ O (1 equiv)	12	71	
3	$AgSbF_{6}(5)$	1	92 (90)	
4	$AgSbF_6$ (5)/ H_2O (1 equiv)	12	4^{b}	
5	$Cu(OTf)_2(5)$	9	62	
6	CuCl ₂ /AgSbF ₆ (5/10)	1	65	
7	CuCl ₂ /AgNTf ₂ (5/10)	24	56	
8	$AuCl/AgSbF_6$ (5/5)	1	83	
9	$AuCl_3/AgSbF_6$ (2/6)	0.5	$89 (87)^c$	
10	TfOH (5)	0.5	72	
11	$BF_3 \cdot OEt_2(5)$	24	80	

 a ¹H NMR yield of **2a** was determined by using CH₂Br₂ as an internal standard. Isolated yield of **2a** is shown in parentheses. b **1a** was recovered in 93% ¹H NMR yield. c The reaction temperature was 60 °C.

use of AgSbF₆, AgOTf, Cu(OTf)₂, CuCl₂/AgSbF₆, AuCl/AgSbF₆, or AuCl₃/AgSbF₆ afforded good to high yields of **2a** (entries 1, 3, and 5–9). However, the use of the single metal (Ag and Cu) did not give good results for other substrates (**1c**-**m**);⁶ the AuCl₃/AgSbF₆ combined catalyst exhibited wide applicability. Notably, trace amounts of byproduct, β , γ -unsaturated enone **2a**′, were detected (Scheme

1). It is noteworthy that AgSbF₆ catalyst was ineffective in the presence of H₂O (Table 1, entry 4), whereas AgOTf

Scheme 1. A Plausible Mechanism for the Gold-Catalyzed Carbocyclization of Internal Alkynyl Ketones

catalyst in the presence of H₂O gave good yield of the corresponding product (entry 2). The reason for this difference is not clear at present. Other coinage metal catalysts, such as AuCl, AuCl₃, AgPF₆, and AgBF₄, were totally ineffective.⁷ The Brønsted and Lewis acid catalysts, TfOH and BF₃•OEt₂, were effective (entries 10 and 11), but they did not exhibit the wide applicability observed in the case of AuCl₃/AgSbF₆.

The results of cyclization of the internal alkynyl ketones 1 are summarized in Table 2. Not only the aryl alkynyl

Table 2. AuCl₃/AgSbF₆-Catalyzed Carbocyclization of Internal Alkynyl Ketones^a

entry	substrates 1		products 2	yield
		(h)	_	$(\%)^{b}$
1	1a R = C_6H_5	0.5	္ပ 2a	87 ^c
2	$^{\rm R}$ 1b R = 4-Me-C ₆ H ₄	1	R 2b	91
3	$1c R = 4-CO_2Me-C_6H_4$	4	2c	85
4 5	Et 1d R = 4-CF ₃ -C ₆ H ₄	24	Et 2d	74
5	1e R = Pr	1.5	o 2e	54
6	Ph o 1f	7	Ph 2f	95 ^d
7 8	$ \mathbf{1g} \ R^2 = H $ $ \mathbf{1h} \ R^2 = CO_2Et $ $ Ph $	1 4	$ \begin{array}{c} $	80 80 ^d

 a Conditions: AuCl $_3$ (2 mol %), AgSbF $_6$ (6 mol %), 1 (0.5 mmol), toluene (0.2 M), 100 °C. b Isolated yields of products 2. c The reaction temperature was 60 °C. d AuCl $_3$ (5 mol %) and AgSbF $_6$ (15 mol %) were used.

ketones bearing electron-donating (**1b**) and electron-with-drawing (**1c** and **1d**) aromatic groups at the alkynyl terminus (entries 2–4) but also the simple alkyl-substituted alkynyl

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⁽⁶⁾ In the presence of the single $AgSbF_6$ catalyst, other substrates (1c-m) did not give good results even upon increasing the catalyst loading to 15 mol % under the longer reaction times (24 h, the starting materials were recovered in comparable yields.).

⁽⁷⁾ See Supporting Information for additional examples.

ketone **1e** gave the desired α,β -unsaturated enones (entry 5). The reaction of the ketone **1f** with α -substituents proceeded smoothly with use of higher amounts of catalyst, giving the expected enone **2f** in very high yield without forming a β,γ -unsaturated enone byproduct (like type **2a'** in the case of **2a**) (entry 6). The alkynyl cyclohexanones **1g** and **1h** were also suitable substrates for the formation of the bicyclic products **2g** and **2h** (entries 7 and 8). However, the cyclopentanone and cyclooctanone derivatives, **1i** and **1j**, reacted under similar conditions to provide the tetrasubstituted β,γ -unsaturated cyclohexenyl phenones **2i'** and **2j'** in 56 and 30% yield, respectively, without forming α,β -enones (eqs 4 and 5).

A plausible mechanism is shown in Scheme 1, although it is highly speculative. Coordination of the catalyst M⁺ to the alkyne A generates the oxetenium intermediates C⁸ or D via the oxonium species B. Subsequent ring opening affords the expected ketone 2a. Perhaps, a small amount of byproduct 2a' would be formed through E; treatment of 2a under the reaction conditions did not produce 2a' at all and vice versa. While it cannot be discounted that the alkyne—carbonyl metathesis occurs from the oxete intermediate F as reported by Krische^{5c} and Hanack,^{4b} it seems that intervention of C, D, and E is more reasonable for explaining the formation of the byproduct.

Additionally, the alkynyl ketone $1\mathbf{k}$ tethered by two methylenes afforded the six-membered α,β -cyclic enone $2\mathbf{k}'$ instead of forming the four-membered carbocycle (eq 6). The enone $2\mathbf{k}'$ would be formed through a 6-endo-dig cyclization between the enolate of $1\mathbf{k}$ and the metal activated alkyne. Thus, the reactions of $1\mathbf{l}$ and $1\mathbf{m}$ gave the bicyclo-[3.3.1]enones $2\mathbf{l}'$ and $2\mathbf{m}'$, respectively (eq 7).

Next, we investigated the cyclization of terminal alkynyl ketones (Table 3). In sharp contrast with the internal alkyne ketones, the terminal alkynyl ketone **1n** was converted to

Table 3. AuCl₃/AgOTf-Catalyzed Intramolecular Cyclization of Terminal Alkynyl Ketones^a

entry	substrates	1	time (h)	products	3	yield (%) ^b
1	EtO ₂ C = O	1n	9	EtO ₂ C Ph	3a	80
2	EtO ₂ C O	10	10	3b:3b' EIO ₂ C E1O ₂ C E1O ₂ C		33:45
3	Ph	1p	10	OH	3c	57 ^c
4	Ph	1q	10	Ph O	3d	40^d

^a Reaction conditions: 5 mol % of AuCl₃, 15 mol % of AgOTf, **1** (0.5 mmol), toluene (0.2 M), 100 °C. ^b Isolated yields of products **3**. ^c AuCl₃ (10 mol %)/AgOTf (30 mol %) catalysts were used. ^d A 2.2:1 mixture of diastereomers **1q** was used. **3d** was obtained in a 1.7:1 mixture of diastereomers.

the α,β -unsaturated cyclic hexenone **3a** in 80% yield under optimal conditions (entry 1).7 When the reaction was monitored by TLC, the 4H-pyran 4a was formed after 1 h in 40% yield along with a mixture of diketone 5a and 3a in 44% yield (eq 8). The reaction of 4a under the standard reaction conditions for 2 h afforded a 1:2.6 ratio of 3a and 5a, and then the latter was further converted completely to 3a under the same conditions in 6 h (eq 9). These results clearly indicate that 3a was formed from diketone 5a, which was produced from the pyran 4a through hydrolysis. The exo cyclization of carbonyl oxygen with terminal alkyne followed by isomerization would give 4a. As expected, when ethyl-substituted ketone 10 was treated with AuCl₃/AgOTf catalyst, a regioisomeric mixture of 3b and 3b' was obtained in 33 and 45% yield, respectively (entry 2). The reaction of o-propynylbenzophenone 1p gave 3c in a moderate yield, which would be produced through the aromatization of corresponding conjugated cyclic ketone (entry 3). Furthermore, the reaction of a 2.2:1 mixture of diastereoisomers 1q afforded a 1.7:1 diastereomeric mixture of conjugated bicyclic ketone **3d** in 40% yield (entry 4).

In conclusion, we have developed an efficient method for constructing highly substituted cyclic enones from alkynyl

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⁽⁸⁾ Analogous intermediate C was proposed in the AgSbF₆-promoted dehalogenation of α -bromo ketone to form β , γ -unsaturated enone; see: Bégué, J.-P.; Malissaed, M. *Tetrahedron* **1978**, *34*, 2095.

ketones. Further investigation of mechanistic details and application of the present methodology to the synthesis of fused rings are in progress.

Supporting Information Available: Experimental procedures and characterization of relevant compounds (PDF). This material is available free of charge via the Internet at http://pub.acs.org.

OL702455V

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^{(9) 8-}Phenyl-7-octyn-3-one produced a complex mixture of products including 2-ethyl-1-cyclopentenyl(phenyl)methanone (\sim 10%). The cycloisomerization to five-membered enone was difficult: the reason is not clear at present.